

**FAITH HOWE** 

Dispute Resolution Specialist

What's the big deal about Reports and Notices?

Why should I care?



Here's one reason:

#### Performance Indicator Reports

- Authorized by DWD 80.02(3)
- https://dwd.wisconsin.gov/wc/insurance/perfreports.htm

Here's another reason:

Surcharge Report



What's the difference between a Report and a Notice?

- Both are authorized by DWD 80.02
- Report on a specific form or in a specific electronic format that DWC has deemed acceptable for sending the required information
- Notice sender gets to submit the information in a way that suits the situation, their own needs, and DWC's requirements



#### Notices

DUE	SEND TO	CODE
7 days	DWD	DWD 80.02(2)(g)1. Written notice of <b>Suspension</b>
	Employee	When payments are stopped for any reason. If any payments are stopped for a reason other than an employee's return to work, the notice shall explain why payments were suspended and shall advise the employee what to do to reinstate payments.
14 days	Employee	DWD 80.02(2m)(a)2. Written notice of <b>Investigation</b>
		Notice to advise that claim is not being paid YET because it is still under investigation. The notice shall specify if additional medical or other information is needed to complete the investigation and shall advise the employee of the right to a hearing IF THE CLAIM IS SUBSEQUENTLY DENIED.



#### Notices

DUE	SEND TO	CODE
7 days	DWD Employee	DWD 80.02(2)(g)2. Written notice of <b>Denial</b>
		When a decision is made to deny liability for payment of compensation <u>after a concession of liability is made</u> , giving the reason for the denial and advising the employee of the right to a hearing before the Division.
14 days	Employee	DWD 80.02(2m)(a)1. Written notice of <b>Denial</b>
		Notice to advise that liability for payment of compensation is being denied, with the specific reason for the denial and advising employee of the right to a hearing.



#### Notices

DUE	SEND TO	CODE	
30 days	DWD	DWD 80.02(2)(i) Final Receipt on <b>Safety Violation</b>	
		If increased compensation is due, a final receipt within 30 days of the final payment to the employee.	
13 weeks	DWD	DWD 80.42 Notice of <b>disability beyond 13 weeks</b>	
		Notice to advise that disability is to extend beyond 13 weeks. Notice should include a copy of a current practitioner's report.	
7 days	DWD	DWD 80.02(2)(g)3. Written notice of <b>Amputation</b> requiring artificial member or appliance.	



#### Reports

#### **Reports**

- Requirements found in DWD 80.02
- Who needs to send?
- When do you need to send it?
- Who do you need to send it to?
- What do you need to send?



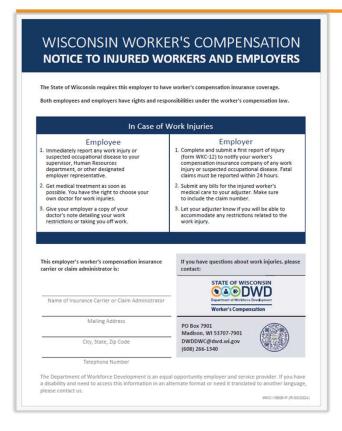
#### Required Reports – Employer Reports

Employer reports – accident or occupational disease; death claims

- DWD 80.02(1)
- Report all claims to insurance carrier
- Within seven (7) days of accident or, in case of occupational disease, beginning of lost time
- Within one (1) day for death claims



## New Voluntary Notice for Employers



- In May 2024, the DWC announced a new tool for employers
- Notice to Injured Workers and Employers (Form WKC-19606-P)
  - Voluntary for employers to post not mandatory
  - Tells employers and employees what they should do in the event of a work injury
  - Gives contact information for organization that is handling this employer's worker's compensation claims
  - Available in English, Spanish, and Hmong



#### Required Reports: Claim Administrator Reports

- DWD 80.02(2)(a)
- WKC-12 First Report of Injury
- Report to DWD
- On or before the 14th day after an accident <u>or</u> first day of disability from occupational disease
- BUT don't send medical only (less than 3 days of lost time) or denials
- File electronically not on paper!



#### Required Reports: First Reports

#### WKC-12 = First Report of Injury

DUE	REPORT/FORM	CODE REQUIREMENT
1 day	WKC-12, First Report of Injury	DWD 80.02(1) An employer shall within one day after the <b>death</b> of an employee due to a compensable injury, report the death to the department and the employer's insurance carrier.
14 days	WKC-12, First Report of Injury	DWD 80.02(2)(a) A first report of injury on or before the 14th day after an accident or the beginning of a disability from occupational disease.



## Required Reports: Subsequent Reports

DUE	REPORT/FORM	CODE REQUIREMENT
30 days	WKC-13 Supplemental Report	DWD 80.02(2)(b) A supplementary report, with information required by form WKC-13 on or before the 30th day following the day on which the injury occurred. (First payment & wage info)
30 days	WKC-13-A1 Wage Information	DWD 80.02(2)(c) The wage information required by form WKC-13-A1 if the wage is less than the maximum wage.
30 days	Part-time employee's restriction statement, if applicable	DWD 80.02(2)(d) A signed statement from the employee to verify that the employee restricts his/her availability on the labor market to part-time employment and is not actively employed elsewhere.



## Required Reports: Subsequent Reports

DUE	REPORT/FORM	CODE REQUIREMENT
30 days from event	WKC-13, Supplemental Report (Send with WKC-16, Final Medical, at EOH when • More than 3 weeks of TD, • Any PPD, or • Surgery as a result of injury)	<ul> <li>DWD 80.02(2)(e) A WKC-13 report within 30 days after each of the following events, with a copy to the employee:</li> <li>Payment type is changed to PPD from TTD or salary continuation in lieu of compensation.</li> <li>TTD benefits or salary continuation in lieu of compensation are reinstated.</li> <li>TPD is paid including information 30 days from event required by form WKC-7359 - also send the form WKC-7359.</li> <li>Final payment of compensation is made or salary continuation in lieu of compensation has ended.</li> </ul>



## Required Reports: Subsequent Reports

DUE	REPORT/FORM	CODE REQUIREMENT
At time of event	WKC-13, Supplemental Report	DWD 80.02(2)(f) To be filed when submitting a stipulation or compromise, and at the time of hearing.
June 30 annually	WKC-140-E	DWD 80.02(2)(k) Report listing date and amount of payment for permanent total disability and supplemental benefits during the previous calendar year.



#### What If I Miss Reporting Due Dates?

- Section 102.35(1) authorizes assessment of surcharges for late reporting
- Any reports with due dates can be subject to surcharge assessments if the reports are submitted late
- Paid to Work Injury Supplemental Benefit Fund
- Surcharge = \$100 for each offense



#### Avoiding Surcharges - Medical

- You have a lot of control over setting the due date for submitting the Final Medical Report (WKC-16).
- If you are getting close to the date that you had expected to submit the Final Medical Report, but you won't have it on time, go into the Pending Reports and extend the due date.
- You can only extend this date 3 times before the system won't let you.



#### Avoiding Surcharges - Medical

- Locked out? Surcharges are automatically assessed on the report expected date, so don't wait to act!
- Before the due date, contact the DWC and ask to have the due date extended.
  - Call
  - Email
  - Email reply function in pending reports



#### Avoiding Surcharges - Wage

- Wage reports (WKC-13A1)also have due dates.
- The system sets a default due date for the WKC-13A1; you can change this date, but the report must be received no later than 90 days after the first WKC-13.



## Surcharge Assessments

- If a report's due date has passed but the DWC has not received your report, the surcharge letter will go out the very next day.
- Do not pay each individual surcharge from the letter.
- Once a year, an invoice with all your company's surcharges for the year will be sent out. Pay the surcharges from this invoice.



#### Surcharge Waivers

- If you get a surcharge letter but know that you have already submitted the medical report, follow these steps:
  - Go into the claim and extend the due date don't just fax in the report again.
  - Bring the claim to our attention we need to look at each one individually to determine whether the surcharge can be waived.
- Don't skip either step!



#### Is My Report in the DWC System?

#### **WC Insurer Pending Reports**

Date of received mail that is being processed.

Today, 11/06/2024, the WC Division is processing incoming mail received on 11/04/2024. Information submitted via this application is updated real-time. If you mailed or faxed information to the WC Division prior to 11/04/2024 the receipt of that information has been logged into the claim. Sometimes information processing may require a series of steps by multiple Division personnel. Therefore, it may take 2-3 weeks before a final disposition is recorded to the claim. During that time please DO NOT re-send information previously submitted.

The WC Division is processing wage-related information received or 09/09/2024. Status of wage investigations reflect only the information received prior to 09/09/2024.

The wage information receipt date that is currently being worked is also shown.



#### Performance Indicator Reports

Six reporting indicators are currently being measured:

- Promptness of Submitting First Reports (WKC-12)
- Promptness of First Indemnity Payments
- Promptness of Submitting First Supplement Reports (WKC-13)
- Accuracy of Weekly Rates and Total Payments
- Completeness of Submitting Required Wage Supplement Reports
- Promptness of Submitting Wage Reports



## Claims Handling Performance Reports

#### Claims Handling Performance Reports

The claims handling performance report is published quarterly for major insurers of Wisconsin Worker's Compensation claims. Select Summary Level - Claim Handling Performance Indicator Reports to view quarterly, year to date and three year performance indicator data; this site is available to the public. Select Detail Level - Claim Handling Performance Indicator Reports to view quarterly detail data for the various performance indicators; this site is secured and requires a Wisconsin User ID and password.

#### Surcharge Report

The Surcharge Report is published annually for insurers and self-insurers of Wisconsin Worker's Compensation claims. Select Detail Level - Claim Handling Performance Indicator and Surcharge Reports to view annual surcharge data; this site is secured and requires a Wisconsin User ID

Please read How to Get Access to WC Secured Sites Using the DWD/WI Logon Management System before you attempt your first login.

- Introduction to Claims Handling Performance Indicators
- Summary Level Claims Handling Performance Indicator Reports (Public Information)
- How to Get Access to WC Secured Sites Using the DWD/WI Logon Management System
- Detail Level Claims Handling Performance Indicator and Surcharge Reports using the DWD/WI



## Questions?

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