

## Indicator 9: Penalties and Surcharges Issued - 2nd Quarter 2011 This Report was Run 07/28/2011

## Large Insurers (400 Claims or more per year)

<u>NAIC</u>	<u>INSURER</u>	<u>Claims</u>	<u>Forfeitures &amp; penalties</u>	<u>No Penalty Ratio</u>	<u>Year To Date</u>	<u>12 Qtr Percent</u>
15350	WEST BEND MUTUAL INS CO	236	2	99.15%	99.19%	96.56%
26042	WAUSAU UNDERWRITERS INS CO	205	2	99.02%	99.10%	96.06%
15261	SOCIETY INSURANCE A MUTUAL CO	181	2	98.90%	99.25%	98.94%
28460	SENTRY CASUALTY CO	328	4	98.78%	98.72%	98.88%
29157	UNITED WISCONSIN	246	4	98.37%	98.81%	97.46%
24449	REGENT INSURANCE CO	142	3	97.89%	97.85%	96.81%
24988	SENTRY INSURANCE A MUTUAL CO	133	4	96.99%	97.97%	96.87%
26069	WAUSAU BUSINESS INS CO	151	5	96.69%	97.99%	94.30%
SI	CITY OF MILWAUKEE	146	5	96.58%	95.39%	96.42%
42404	LIBERTY INSURANCE CORP	81	4	95.06%	96.74%	96.77%
16535	ZURICH AMERICAN INSURANCE COMPA	238	15	93.70%	92.43%	84.53%
22667	ACE AMERICAN INSURANCE CO	212	16	92.45%	92.76%	89.78%
23841	NEW HAMPSHIRE INSURANCE CO	198	16	91.92%	93.68%	92.71%
14184	ACUITY INSURANCE CO	196	16	91.84%	93.32%	94.46%
22543	SECURA INSURANCE A MUTUAL CO	88	8	90.91%	90.59%	92.39%
25674	TRAVELERS PROPERTY CAS CO OF AME	156	21	86.54%	78.90%	73.97%
	<b>Totals for Group:</b>	<b>2,937</b>	<b>127</b>	<b>95.68%</b>	<b>95.51%</b>	<b>93.70%</b>

## Indicator 9: Penalties and Surcharges Issued - 2nd Quarter 2011 This Report was Run 07/28/2011

Medium Size Insurers (85 -399 Claims or more per year)

<u>NAIC</u>	<u>INSURER</u>	<u>Claims</u>	<u>Forfeitures &amp; penalties</u>	<u>No Penalty Ratio</u>	<u>Year To Date</u>	<u>12 Qtr Percent</u>
15091	RURAL MUTUAL INS CO	67	0	100.00%	100.00%	97.09%
SI	DEPT OF ADMINISTRATION	64	0	100.00%	100.00%	98.61%
13986	FRANKENMUTH MUTUAL INS CO	37	0	100.00%	100.00%	93.88%
SI	UW-SYSTEM ADMINISTRATION	37	0	100.00%	100.00%	95.81%
SI	CITY OF MADISON	36	0	100.00%	100.00%	94.23%
SI	KOHLER CO	29	0	100.00%	100.00%	95.78%
31895	AMERICAN INTERSTATE INS CO	27	0	100.00%	100.00%	93.08%
24414	GENERAL CAS CO OF WI	23	0	100.00%	100.00%	96.36%
35386	FIDELITY & GUARANTY INS CO	18	0	100.00%	100.00%	93.53%
23434	MIDDLESEX INSURANCE CO	65	0	100.00%	99.02%	96.66%
19950	WILSON MUTUAL INS CO	85	1	98.82%	98.98%	99.05%
25402	EMPLOYERS ASSURANCE CORP	41	0	100.00%	98.78%	94.97%
20508	VALLEY FORGE INS CO	36	0	100.00%	98.67%	99.17%
23035	LIBERTY MUTUAL FIRE INS CO	34	1	97.06%	98.65%	91.56%
14303	INTEGRITY MUTUAL INS CO	22	0	100.00%	98.48%	96.79%
31003	TRI STATE INS CO OF MN	29	1	96.55%	98.44%	91.80%
25887	UNITED STATES FIDELITY & GUARANTY	25	0	100.00%	98.25%	92.02%
10804	CONTINENTAL WESTERN INS CO	20	0	100.00%	97.96%	93.13%
24830	CITIES & VILLAGES MUTUAL INS CO	20	0	100.00%	97.83%	97.29%
23043	LIBERTY MUTUAL INS CO	45	0	100.00%	97.56%	90.80%
20494	TRANSPORTATION INSURANCE CO	41	0	100.00%	97.47%	97.37%
11527	LEAGUE OF WIS MUNICIPALITIES MUTU.	32	1	96.88%	97.30%	97.20%
SI	MILWAUKEE BOARD OF SCHOOL DIR	94	2	97.87%	97.28%	96.55%
21458	EMPLOYERS INSURANCE CO OF WAUSAU	38	2	94.74%	97.12%	92.65%
19410	COMMERCE & INDUSTRY INS CO	30	1	96.67%	97.10%	92.90%
22659	INDIANA INSURANCE CO	39	2	94.87%	96.88%	93.09%
11150	ARCH INSURANCE CO	27	2	92.59%	96.72%	98.18%
19429	INSURANCE COMPANY OF STATE OF PA	34	0	100.00%	96.67%	93.42%
29459	TWIN CITY FIRE INS CO	53	1	98.11%	96.40%	95.46%
23787	NATIONWIDE MUTUAL INS CO	23	2	91.30%	96.15%	97.86%
21407	EMCASCO INSURANCE CO	49	3	93.88%	95.87%	96.62%
21415	EMPLOYERS MUTUAL CASUALTY CO	69	6	91.30%	95.34%	96.14%
29424	HARTFORD CASUALTY INS CO	14	2	85.71%	95.24%	97.59%
SI	TARGET CORP (STORES)	13	1	92.31%	95.24%	95.58%
19275	AMERICAN FAMILY MUTUAL INS CO	25	2	92.00%	95.00%	92.60%
15377	WESTERN NATIONAL MUTUAL INS CO	57	2	96.49%	94.85%	89.34%
11374	SFM MUTUAL INS CO	54	1	98.15%	94.69%	95.60%
11371	GREAT WEST CASUALTY CO	14	1	92.86%	94.44%	95.82%
37885	XL SPECIALTY INSURANCE COMPANY	28	1	96.43%	93.85%	93.06%
19445	NATIONAL UNION FIRE INS CO OF PITTS	22	2	90.91%	92.31%	87.44%
18988	AUTO OWNERS INS CO	31	3	90.32%	91.67%	95.49%
10166	ACCIDENT FUND INS CO OF AMERICA	35	5	85.71%	90.48%	90.67%
10998	MICHIGAN COMMERCIAL INS MUTUAL	16	1	93.75%	90.38%	91.04%
14176	HASTINGS MUTUAL INS CO	24	2	91.67%	90.24%	93.48%
SI	COUNTY OF MILWAUKEE	48	6	87.50%	89.19%	88.47%
10677	CINCINNATI INSURANCE CO THE	50	3	94.00%	88.30%	88.96%
25682	TRAVELERS INDEMNITY CO OF CT THE	98	7	92.86%	88.04%	75.49%
20281	FEDERAL INSURANCE CO	27	3	88.89%	85.45%	78.37%
23817	ILLINOIS NATIONAL INS CO	22	7	68.18%	82.26%	91.34%
25666	TRAVELERS INDEMNITY CO OF AMERIC.	24	3	87.50%	78.43%	71.24%

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Medium Size Insurers (85 -399 Claims or more per year)

<u>NAIC</u>	<u>INSURER</u>	<u>Claims</u>	<u>Forfeitures &amp; penalties</u>	<u>No Penalty Ratio</u>	<u>Year To Date</u>	<u>12 Qtr Percent</u>
40142	AMERICAN ZURICH INS CO	26	5	80.77%	73.77%	87.16%
24147	OLD REPUBLIC INS CO	76	25	67.11%	71.69%	83.40%
SI	WISCONSIN POWER & LIGHT COMPANY	12	7	41.67%	11.76%	-106.98%
<b>Totals for Group:</b>		<b>2,005</b>	<b>114</b>	<b>94.31%</b>	<b>94.12%</b>	<b>91.90%</b>

## Indicator 9: Penalties and Surcharges Issued - 2nd Quarter 2011 This Report was Run 07/28/2011

## Small Size Insurers (Less than 85 Claims per year)

<u>NAIC</u>	<u>INSURER</u>	<u>Claims</u>	<u>Forfeitures &amp; penalties</u>	<u>No Penalty Ratio</u>	<u>Year To Date</u>	<u>12 Qtr Percent</u>
SI	COUNTY OF WINNEBAGO	6	0	100.00%	100.00%	100.00%
13935	FEDERATED MUTUAL INS CO	9	0	100.00%	100.00%	99.50%
SI	SCHNEIDER NATIONAL CARRIERS INC	6	1	83.33%	94.44%	98.82%
12262	PENN MFRS ASSOCIATION INS CO	14	0	100.00%	100.00%	98.74%
26956	WIS COUNTY MUTUAL INS CORP	16	1	93.75%	92.59%	98.66%
SI	CITY OF KENOSHA	7	0	100.00%	100.00%	98.65%
SI	COUNTY OF ROCK	8	0	100.00%	100.00%	98.57%
18767	CHURCH MUTUAL INSURANCE CO	10	0	100.00%	96.00%	98.55%
SI	MAYO CLINIC-EAU CLAIRE HOSPITAL IN	5	0	100.00%	100.00%	98.41%
SI	CNH AMERICA LLC	14	0	100.00%	100.00%	98.40%
SI	JOURNAL SENTINEL INC	2	0	100.00%	100.00%	98.36%
SI	NEWPAGE WISCONSIN SYSTEM INC	14	0	100.00%	96.30%	98.03%
23442	PATRIOT GENL INS CO	0	0	0.00%	0.00%	98.00%
26271	ERIE INSURANCE EXCHANGE	8	0	100.00%	96.00%	97.75%
20443	CONTINENTAL CASUALTY CO	3	0	100.00%	100.00%	97.47%
20702	ACE FIRE UNDERWRITERS INSURANCE C	2	0	100.00%	66.67%	97.22%
SI	COUNTY OF DANE	17	0	100.00%	100.00%	97.10%
SI	THE VOLLRATH COMPANY LLC	0	1	0.00%	75.00%	97.01%
12006	DISTRICTS MUTUAL INS	7	0	100.00%	100.00%	96.91%
SI	WISCONSIN BELL INC	16	1	93.75%	96.55%	96.45%
23396	AMERISURE MUTUAL INS CO	18	0	100.00%	97.44%	96.33%
19682	HARTFORD FIRE INSURANCE CO	9	1	88.89%	88.89%	95.60%
21180	SENTRY SELECT	2	1	50.00%	87.50%	95.59%
36463	DISCOVER PROPERTY & CASUALTY INSU	14	1	92.86%	96.30%	95.45%
SI	MARTEN TRANSPORT LTD	14	0	100.00%	100.00%	95.36%
SI	GENERAL MOTORS LLC	1	0	100.00%	100.00%	95.31%
33600	L M INSURANCE CORP	16	0	100.00%	100.00%	95.10%
30104	HARTFORD UNDERWRITERS INS CO	7	0	100.00%	95.00%	94.64%
SI	FEDERAL EXPRESS CORPORATION	8	3	62.50%	85.00%	94.29%
SI	COLUMBIA-ST MARY'S GROUP	12	0	100.00%	96.67%	94.25%
39926	SELECTIVE INS CO OF THE SOUTHEAST	3	0	100.00%	100.00%	94.25%
24228	PEKIN INSURANCE CO	8	2	75.00%	92.00%	93.63%
20397	VIGILANT INSURANCE CO	12	1	91.67%	92.31%	93.57%
25976	UTICA MUTUAL INS CO	3	0	100.00%	100.00%	93.41%
41394	BENCHMARK INSURANCE CO	6	1	83.33%	92.86%	93.26%
SI	DEPT OF TRANSPORTATION	1	0	100.00%	100.00%	92.31%
SI	P&H MINING EQUIPMENT INC	6	0	100.00%	93.33%	91.67%
36234	PREFERRED PROFESSIONAL INS CO	2	0	100.00%	100.00%	90.82%
19259	SELECTIVE INS CO OF SOUTH CAROLINA	13	0	100.00%	100.00%	90.67%
SI	KWIK TRIP INC	7	0	100.00%	100.00%	90.08%
21709	TRUCK INSURANCE EXCHANGE	3	0	100.00%	80.00%	90.00%
26425	WAUSAU GENERAL INS CO	22	0	100.00%	97.96%	89.34%
25143	STATE FARM FIRE & CASUALTY CO	8	1	87.50%	70.59%	89.29%
SI	COUNTY OF WASHINGTON	10	0	100.00%	100.00%	88.64%
SI	USF HOLLAND INC	12	3	75.00%	81.82%	88.42%
19402	CHARTIS PROPERTY CASUALTY CO	4	0	100.00%	100.00%	88.00%
13021	UNITED FIRE & CASUALTY CO	11	2	81.82%	78.26%	87.77%
SI	BRIGGS & STRATTON CORP	8	0	100.00%	100.00%	86.59%
10472	CAPITOL INDEMNITY CORP	1	2	-100.00%	70.00%	86.36%
SI	COUNTY OF WAUKESHA	6	0	100.00%	100.00%	86.08%

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<u>NAIC</u>	<u>INSURER</u>	<u>Claims</u>	<u>Forfeitures &amp; penalties</u>	<u>No Penalty Ratio</u>	<u>Year To Date</u>	<u>12 Qtr Percent</u>
14508	MICHIGAN MILLERS MUTUAL INS CO	0	0	0.00%	0.00%	85.71%
19380	AMERICAN HOME ASSURANCE CO	1	0	100.00%	100.00%	85.44%
43575	INDEMNITY INSURANCE CO OF NORTH A	8	1	87.50%	87.50%	84.93%
31534	CITIZENS INSURANCE CO OF AMERICA	15	2	86.67%	81.48%	84.87%
SI	MILWAUKEE TRANSPORT SERVICES INC	5	0	100.00%	100.00%	84.47%
12157	COMPANION PROP & CAS INS CO	6	1	83.33%	80.95%	77.89%
45934	AMERICAN COMPENSATION	3	0	100.00%	57.14%	75.47%
19038	TRAVELERS CASUALTY & SURETY CO	16	2	87.50%	84.38%	75.18%
26247	AMERICAN GUARANTEE & LIABILITY IN	4	0	100.00%	90.00%	73.91%
36919	HAWKEYE SECURITY INS CO	5	1	80.00%	87.50%	70.97%
SI	WISCONSIN ELECTRIC POWER COMPAN	9	2	77.78%	66.67%	68.00%
25615	CHARTER OAK FIRE INS CO	12	3	75.00%	66.67%	60.40%
<b>Totals for Group:</b>		<b>495</b>	<b>34</b>	<b>93.13%</b>	<b>92.84%</b>	<b>91.56%</b>