

Indicator 9: Penalties and Forfeitures Issued - 2nd Quarter 2009

Large Insurers (400 Claims or more per year)

| <u>NAIC</u> | <u>INSURER</u> | <u>Claims</u> | <u>Forfeitures & penalties</u> | <u>No Penalty Ratio</u> | <u>Year To Date</u> | <u>12 Qtr Percent</u> |
|--------------------------|-----------------------------------|---------------|--|-----------------------------|---------------------|-----------------------|
| 24449 | REGENT INSURANCE CO | 140 | 0 | 100.00% | 97.56% | 97.69% |
| 22543 | SECURA INSURANCE A MUTUAL CO | 88 | 1 | 98.86% | 97.83% | 95.96% |
| 24988 | SENTRY INSURANCE A MUTUAL CO | 189 | 3 | 98.41% | 97.16% | 97.36% |
| 14184 | ACUITY INSURANCE CO | 206 | 4 | 98.06% | 95.78% | 93.39% |
| 15261 | SOCIETY INSURANCE A MUTUAL CO | 190 | 4 | 97.89% | 99.02% | 98.48% |
| 15350 | WEST BEND MUTUAL INS CO | 261 | 6 | 97.70% | 96.20% | 96.67% |
| 21415 | EMPLOYERS MUTUAL CASUALTY CO | 72 | 2 | 97.22% | 97.39% | 95.86% |
| 29157 | UNITED WISCONSIN | 274 | 9 | 96.72% | 94.84% | 97.41% |
| 23817 | ILLINOIS NATIONAL INS CO | 87 | 3 | 96.55% | 94.57% | 87.30% |
| 42404 | LIBERTY INSURANCE CORP | 112 | 4 | 96.43% | 96.60% | 94.54% |
| 25402 | EMPLOYERS ASSURANCE CORP | 100 | 4 | 96.00% | 95.59% | 95.33% |
| SI | CITY OF MILWAUKEE | 183 | 8 | 95.63% | 94.39% | 96.76% |
| 25674 | TRAVELERS PROPERTY CAS CO OF AMEI | 152 | 7 | 95.39% | 93.71% | 92.73% |
| 22667 | ACE AMERICAN INSURANCE CO | 202 | 15 | 92.57% | 91.65% | 88.29% |
| 26042 | WAUSAU UNDERWRITERS INS CO | 91 | 8 | 91.21% | 89.25% | 90.83% |
| 21458 | EMPLOYERS INSURANCE CO OF WAUSAU | 125 | 12 | 90.40% | 89.43% | 93.69% |
| 16535 | ZURICH AMERICAN INSURANCE COMPAN | 284 | 29 | 89.79% | 85.61% | 86.99% |
| 24147 | OLD REPUBLIC INS CO | 57 | 6 | 89.47% | 93.96% | 92.88% |
| 26069 | WAUSAU BUSINESS INS CO | 107 | 13 | 87.85% | 89.40% | 90.23% |
| Totals for Group: | | 2,920 | 138 | 95.27% | 94.03% | 94.17% |

Indicator 9: Penalties and Forfeitures Issued - 2nd Quarter 2009

Medium Size Insurers (85 -399 Claims or more per year)

| <u>NAIC</u> | <u>INSURER</u> | <u>Claims</u> | <u>Forfeitures & penalties</u> | <u>No Penalty Ratio</u> | <u>Year To Date</u> | <u>12 Qtr Percent</u> |
|--------------------------|--------------------------------------|---------------|--|-----------------------------|---------------------|-----------------------|
| 20494 | TRANSPORTATION INSURANCE CO | 66 | 0 | 100.00% | 100.00% | 97.52% |
| SI | TARGET CORP (STORES) | 15 | 0 | 100.00% | 100.00% | 98.62% |
| 14176 | HASTINGS MUTUAL INS CO | 13 | 0 | 100.00% | 100.00% | 93.46% |
| 13935 | FEDERATED MUTUAL INS CO | 11 | 0 | 100.00% | 100.00% | 94.57% |
| 23442 | PATRIOT GENL INS CO | 8 | 0 | 100.00% | 100.00% | 98.73% |
| 11374 | SFM MUTUAL INS CO | 50 | 1 | 98.00% | 99.04% | 96.18% |
| SI | DEPT OF ADMINISTRATION | 83 | 0 | 100.00% | 98.96% | 98.97% |
| SI | CITY OF MADISON | 41 | 0 | 100.00% | 98.77% | 94.74% |
| 28460 | SENTRY CASUALTY CO | 213 | 2 | 99.06% | 98.75% | 98.60% |
| 29459 | TWIN CITY FIRE INS CO | 46 | 1 | 97.83% | 98.21% | 93.52% |
| 11150 | ARCH INSURANCE CO | 28 | 0 | 100.00% | 97.92% | 96.52% |
| SI | NEWPAGE WISCONSIN SYSTEM INC | 21 | 0 | 100.00% | 97.87% | 81.40% |
| 25666 | TRAVELERS INDEMNITY CO OF AMERIC. | 20 | 1 | 95.00% | 97.50% | 93.46% |
| 23787 | NATIONWIDE MUTUAL INS CO | 34 | 1 | 97.06% | 97.18% | 89.03% |
| 37885 | XL SPECIALTY INSURANCE COMPANY | 29 | 1 | 96.55% | 96.61% | 89.39% |
| 15091 | RURAL MUTUAL INS CO | 72 | 2 | 97.22% | 96.27% | 96.89% |
| 35386 | FIDELITY & GUARANTY INS CO | 37 | 0 | 100.00% | 96.12% | 89.84% |
| 11371 | GREAT WEST CASUALTY CO | 21 | 0 | 100.00% | 95.56% | 91.15% |
| 14303 | INTEGRITY MUTUAL INS CO | 37 | 1 | 97.30% | 95.24% | 97.78% |
| 13986 | FRANKENMUTH MUTUAL INS CO | 33 | 2 | 93.94% | 94.59% | 96.43% |
| SI | KOHLER CO | 23 | 0 | 100.00% | 94.55% | 95.90% |
| 23841 | NEW HAMPSHIRE INSURANCE CO | 99 | 8 | 91.92% | 94.44% | 91.88% |
| 25682 | TRAVELERS INDEMNITY CO OF CT THE | 72 | 5 | 93.06% | 93.59% | 94.34% |
| SI | MILWAUKEE BOARD OF SCHOOL DIR | 79 | 5 | 93.67% | 93.55% | 96.13% |
| 23434 | MIDDLESEX INSURANCE CO | 46 | 1 | 97.83% | 93.55% | 97.00% |
| 24414 | GENERAL CAS CO OF WI | 26 | 2 | 92.31% | 93.55% | 96.72% |
| 11527 | LEAGUE OF WIS MUNICIPALITIES MUTU. | 43 | 2 | 95.35% | 93.48% | 95.56% |
| 19429 | INSURANCE COMPANY OF STATE OF PA | 51 | 5 | 90.20% | 92.52% | 78.84% |
| SI | MILWAUKEE TRANSPORT SERVICES INC | 14 | 1 | 92.86% | 92.45% | 90.91% |
| SI | COUNTY OF MILWAUKEE | 36 | 5 | 86.11% | 92.31% | 94.57% |
| 15377 | WESTERN NATIONAL MUTUAL INS CO | 23 | 1 | 95.65% | 91.67% | 87.93% |
| 23043 | LIBERTY MUTUAL INS CO | 145 | 12 | 91.72% | 91.61% | 83.77% |
| 10804 | CONTINENTAL WESTERN INS CO | 17 | 0 | 100.00% | 91.43% | 96.30% |
| 10998 | MICHIGAN COMMERCIAL INS MUTUAL I | 18 | 2 | 88.89% | 91.11% | 93.98% |
| 19380 | AMERICAN HOME ASSURANCE CO | 11 | 1 | 90.91% | 89.29% | 87.66% |
| 31003 | TRI STATE INS CO OF MN | 41 | 2 | 95.12% | 89.13% | 92.26% |
| 31895 | AMERICAN INTERSTATE INS CO | 15 | 3 | 80.00% | 88.89% | 89.08% |
| 24228 | PEKIN INSURANCE CO | 9 | 0 | 100.00% | 88.24% | 94.63% |
| 10677 | CINCINNATI INSURANCE CO THE | 57 | 8 | 85.96% | 86.61% | 90.20% |
| 23035 | LIBERTY MUTUAL FIRE INS CO | 38 | 9 | 76.32% | 86.32% | 87.49% |
| 10166 | ACCIDENT FUND INS CO OF AMERICA | 49 | 11 | 77.55% | 85.95% | 78.65% |
| 20281 | FEDERAL INSURANCE CO | 35 | 7 | 80.00% | 84.88% | 85.60% |
| 19410 | COMMERCE & INDUSTRY INS CO | 32 | 2 | 93.75% | 84.62% | 81.16% |
| 40142 | AMERICAN ZURICH INS CO | 36 | 7 | 80.56% | 83.95% | 91.35% |
| 22659 | INDIANA INSURANCE CO | 47 | 3 | 93.62% | 82.14% | 85.63% |
| 19445 | NATIONAL UNION FIRE INS CO OF PITTSI | 33 | 4 | 87.88% | 81.69% | 81.22% |
| 43575 | INDEMNITY INSURANCE CO OF NORTH A | 13 | 6 | 53.85% | 76.92% | 87.17% |
| 26425 | WAUSAU GENERAL INS CO | 4 | 3 | 25.00% | 71.43% | 92.11% |
| Totals for Group: | | 1,990 | 127 | 93.62% | 93.33% | 91.71% |

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Small Size Insurers (Less than 85 Claims per year)

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|--------------------------|------------------------------------|---------------|--|-----------------------------|---------------------|-----------------------|
| 29424 | HARTFORD CASUALTY INS CO | 8 | 0 | 100.00% | 100.00% | 98.31% |
| 10472 | CAPITOL INDEMNITY CORP | 6 | 0 | 100.00% | 100.00% | 96.99% |
| 12006 | DISTRICTS MUTUAL INS | 13 | 1 | 92.31% | 87.50% | 96.77% |
| SI | VOLLRATH COMPANY LLC | 4 | 0 | 100.00% | 85.71% | 96.55% |
| SI | GENERAL MOTORS CORPORATION | 5 | 0 | 100.00% | 100.00% | 95.89% |
| SI | KENOSHA UNIFIED SCHOOL DISTRICT | 7 | 0 | 100.00% | 100.00% | 95.71% |
| 19682 | HARTFORD FIRE INSURANCE CO | 7 | 0 | 100.00% | 100.00% | 95.68% |
| 10642 | CHEROKEE INSURANCE CO | 1 | 0 | 100.00% | 100.00% | 95.65% |
| 20478 | NATIONAL FIRE INS CO OF HARTFORD | 5 | 0 | 100.00% | 100.00% | 95.56% |
| 36463 | DISCOVER PROPERTY & CASUALTY INSI | 12 | 1 | 91.67% | 94.29% | 94.90% |
| 34207 | WESTPORT INSURANCE CORPORATION | 1 | 1 | 0.00% | 80.00% | 94.59% |
| 25143 | STATE FARM FIRE & CASUALTY CO | 11 | 1 | 90.91% | 96.15% | 94.41% |
| 22322 | GREENWICH INSURANCE CO | 0 | 0 | 0.00% | 0.00% | 94.23% |
| SI | JOURNAL SENTINEL INC | 4 | 0 | 100.00% | 100.00% | 93.90% |
| 18767 | CHURCH MUTUAL INSURANCE CO | 13 | 0 | 100.00% | 100.00% | 93.84% |
| SI | WISCONSIN BELL INC | 15 | 1 | 93.33% | 95.45% | 93.64% |
| 20397 | VIGILANT INSURANCE CO | 7 | 2 | 71.43% | 85.00% | 92.97% |
| 33600 | L M INSURANCE CORP | 7 | 0 | 100.00% | 92.31% | 92.91% |
| 14117 | GRINNELL MUT REINSUR CO | 5 | 1 | 80.00% | 71.43% | 92.86% |
| SI | STOUGHTON TRAILERS, LLC | 2 | 0 | 100.00% | 100.00% | 92.86% |
| SI | WISCONSIN ELECTRIC POWER COMPAN | 16 | 0 | 100.00% | 84.00% | 91.80% |
| SI | FEDERAL EXPRESS CORPORATION | 6 | 0 | 100.00% | 94.74% | 91.75% |
| 19402 | AIG CASUALTY CO | 6 | 1 | 83.33% | 76.92% | 91.60% |
| 25976 | UTICA MUTUAL INS CO | 11 | 0 | 100.00% | 100.00% | 91.30% |
| SI | CITY OF GREEN BAY | 4 | 1 | 75.00% | 81.82% | 91.04% |
| 30104 | HARTFORD UNDERWRITERS INS CO | 12 | 0 | 100.00% | 92.31% | 89.53% |
| SI | COLUMBIA-ST MARY'S INC | 15 | 1 | 93.33% | 94.74% | 89.31% |
| 19259 | SELECTIVE INS CO OF SOUTH CAROLINA | 4 | 0 | 100.00% | 100.00% | 87.62% |
| 14508 | MICHIGAN MILLERS MUTUAL INS CO | 10 | 1 | 90.00% | 85.71% | 85.98% |
| 36919 | HAWKEYE SECURITY INS CO | 3 | 5 | -66.67% | 56.25% | 85.71% |
| 45934 | AMERICAN COMPENSATION | 3 | 1 | 66.67% | 83.33% | 83.19% |
| 10120 | EVEREST NATIONAL INS CO | 0 | 0 | 0.00% | 100.00% | 82.86% |
| SI | BRIGGS & STRATTON CORP | 7 | 3 | 57.14% | 68.75% | 82.42% |
| 14591 | MILWAUKEE INS COMPANY | 1 | 1 | 0.00% | 75.00% | 77.38% |
| 13714 | PHARMACISTS MUTUAL INS CO | 5 | 2 | 60.00% | 77.78% | 75.34% |
| 21113 | UNITED STATES FIRE INS CO | 2 | 0 | 100.00% | 50.00% | 73.13% |
| 25887 | UNITED STATES FIDELITY & GUARANTY | 6 | 1 | 83.33% | 93.75% | 70.00% |
| 24767 | ST PAUL FIRE & MARINE INS CO | 0 | 0 | 0.00% | 0.00% | 69.44% |
| 21865 | ASSOCIATED INDEMNITY CORP | 1 | 0 | 100.00% | 0.00% | -64.29% |
| Totals for Group: | | 245 | 25 | 89.80% | 90.46% | 88.95% |